

Quarterly Progress Report

1 July – 30 September 2023

ANNEX I: ARCHIVES

Table 1: Case file sizes in pages

Case File	Total	Public
<i>Introductory Submissions</i>	79,355	-
<i>Case File 001</i>	137,448	63.0%
<i>Case File 002</i>	1,248,422	52.1%
<i>Case File 003</i>	388,955	9.0%
<i>Case File 004</i>	460,779	7.3%
<i>Case File 004/01</i> ¹	13,933	49.8%
<i>Case File 004/02</i> ²	37,786	33.5%
<i>Special Cases</i> ³	13,654	39.5%
	2,380,332	34.9%

Table 2: Quarterly statistics

Details	Amount
Pages processed into case files during the quarter	119
Current size of digital case file	2.5 terabytes
Number of notifications issued by case file officer	3
Database access requests actioned	4 (aggregate: 43)
Document access requests actioned	2 (aggregate: 35)
Bibliographic references (aggregate)	3,325

Table 3: Resource Centre rolling survey results

Category	Quotient	Responses
Category of respondents		
Students/education	27%	180
Legal practitioners	6%	
Law students	51%	
Researchers	3%	
Other	13%	
Requested services (multiple selection possible)		
Training on ECCC resources	41	530
Guided tours of the archives	29	
Archive reproduction services	24	

¹ New filings in case file 004/01 following the severance order (earlier filings remain in case file 004).

² New filings in case file 004/02 following the severance order (earlier filings remain in case file 004).

³ Co-Prosecutor disagreements, disqualification cases, etc.

Online catalogues and databases	46	
On-site consultations	29	
Research support	54	
Online desk booking system and meeting rooms	30	
Other	11	
<i>Preferred opening times</i>		
24 hours	22%	170
8am-5pm	36%	
8am-8pm	31%	
8am-10pm	10%	
8am-midnight	1%	
<i>Preferred opening days</i>		
Every day	57%	172
Monday to Friday	27%	
Monday to Saturday	16%	
<i>Preferred language services (multiple selection possible)</i>		
Khmer	152	277
English	112	
French	13	

ANNEX II: DISSEMINATION OF INFORMATION

Table 1: Consolidated outreach and attendance (by person)

Period	Hearings	Study tours	Visits	VIPs	Screenings	Lectures	Total
	(days)	(tours)	(groups)	(delegations)	(screenings)	(occasions)	
Judicial phase ⁴							
2009-2022	244,668 (639)	214,168 (722)	7,855 (446)	778 (182)	71,488 (167)	101,319 (76)	640,276
Residual phase							
Jan-Sept 2023	-	11,702 (62)	1,718 (13)	55 (6)	-	-	13,475
TOTAL	244,668 (639)	225,870 (784)	9,573 (459)	833 (188)	71,488 (167)	101,319 (76)	653,751

Table 2: Study tour survey results

Question	Quotient	Responses
<i>Do think the study tour is important for you and other Cambodians?</i>		
Yes	100%	1,947
No	0%	
<i>Why do you see this study tour as important? (multiple selection possible)</i>		
Understanding the trials of former Khmer Rouge leaders	620	6,826
Finding out that atrocities took place	806	
Learning more about Cambodian history and atrocities	1,717	
Understanding the suffering of Cambodians during the Democratic Kampuchea period	742	
Learning about historical sites and places of suffering	805	
Visiting Choeung Ek, Tuol Sleng Museum, Win-Win Memorial	2,136	
<i>Is the knowledge you gained during the study tour important?</i>		
Important or very important	98%	1,742
Neutral	1%	
Not important	1%	

⁴ For a detailed breakdown of attendance and participation during the judicial phase, please refer to Tables 6, 7, and 7.1-7.3 of the [Quarterly Progress Report from October-December 2022](#).

Table 3: Notification of Civil Parties in case 002

Province	Total CPs	Notified directly	Notified indirectly ⁵	Total notified	Not reachable ⁶
Banteay Meanchey	137	-	-	-	-
Battambang	62	-	-	-	-
Kampong Cham	464	-	-	-	-
Kampong Chhnang	158	-	-	-	-
Kampong Speu	307	-	-	-	-
Kampong Thom	212	-	-	-	-
Kampot	520	-	-	-	-
Kandal	318	170	88	258 (81%)	60
Koh Kong	62	-	-	-	-
Kratie	97	-	-	-	-
Mondulkiri	57	-	-	-	-
Phnom Penh	127	-	-	-	-
Preah Vihear	60	-	-	-	-
Prey Veng	205	100	70	170 (83%)	35
Pursat	265	-	-	-	-
Ratanakiri	33	-	-	-	-
Siem Reap	221	-	-	-	-
Sihanoukville	103	-	-	-	-
Stung Treng	65	-	-	-	-
Svay Rieng	149	66	49	115 (77%)	34
Tboung Khmum ⁷	-	-	-	-	-
Takeo	81	28	18	46 (57%)	35
Oddar Meanchey	16	-	-	-	-
Kep	9	-	-	-	-
Pailin	14	-	-	-	-
Abroad	125	-	-	-	-
Total	3,867	364	225	589 (15%)	164

⁵ Materials were received by relatives where the civil party was not at home, was too frail or ill, or had passed away.

⁶ Due to death or relocation, where no relatives or contact information were available in the village or district office.

⁷ Presently reported under Kampong Cham province, which included the area of Tboung Khmum province at the time the civil party applications were filed. This figure will be updated as outreach efforts continue in the respective regions.

ANNEX III: ADMINISTRATION

Table 1: Consolidated personnel (staff and officials) as at 30 September 2023

Office		Approved posts			On board		
		UN	ECCC	Total	UN	ECCC	Total
Judiciary⁸ and judicial support	Supreme Court Chamber	1	1	2	1	1	2
	Trial Chamber	-	-	-	-	-	-
	Pre-Trial Chamber	-	-	-	-	-	-
	Co-Investigating Judges	-	-	-	-	-	-
	Co-Prosecutors	-	-	-	-	-	-
	Defence Support	-	-	-	-	-	-
	Civil Party Lead Co-Lawyers	-	-	-	-	-	-
	Victims Support	-	3	3	-	3	3
Archives	Records and Archives	1	2	3	1	2	3
Dissemination	Public Affairs and Court Management	2	5	7	2	5	7
Administration	Office of the Director	2	3	5	2	3	5
	Budget and Finance	2	2	4	2	2	4
	Human Resources	-	1	1	-	1	1
	Procurement	-	1	1	-	1	1
	Information Technology	2	2	4	2	2	4
	General Services	-	6	6	-	6	6
	Security and Safety Section	1	4	5	1	4	5
Total		11	30	41	11	30	41
Gender balance (male to female)		UN: 55:45% ECCC: 90:10%					

Table 2: Staff geographic distribution

	UN	ECCC	Total
Cambodia	6	30	36
Australia	1	-	1
Norway	1	-	1
Philippines	1	-	1
Spain	1	-	1
Uganda	1	-	1

⁸ All judges, co-prosecutors, defence counsels and civil party lead co-lawyers have been de-installed from the duty station and work remotely when required per article 2(5) of the [Addendum](#). Two greffiers remain installed to coordinate judicial matters during the residual phase per rule 115 of the [Internal Rules](#).

Table 3: Budget implementation and expenditures as at 30 September 2023

#	Grant management sponsor class	Endorsed budget	1 st quarter	2 nd quarter	3 rd quarter	4 th quarter	Total	Impl rate (%)	Balance
United Nations									
1	STAFF-PERSONNEL	2,630,881	854,596	498,425	464,911	-	1,817,933	69.10	812,949
2	CONTRACT-SERVICE	674,180	181,664	(79,455)	36,385	-	138,594	20.56	535,586
3	TRAVEL	36,592	1,933	7,504	3,553	-	12,991	35.50	23,601
4	OPER-OTHER-COSTS	435,825	154,813	36,771	367,091	-	558,675	128.19 ^a	(122,850)
5	SUPPL-COM-MATER	28,500	4,583	10,759	24,542	-	39,884	139.94 ^b	(11,384)
6	EQUIP-VEH-FURNIT	406,750	113,446	175,500	38,979	-	327,925	80.62	78,825
7	IP-DIRECT	-	-	-	18,402	-	18,402^d	-	(18,402)
8	PSC-EXP-UN	315,955	4	(642)	(23)	-	(661)	(0.21)	316,615
SUBTOTAL		4,528,683	1,311,039	648,862	953,840	-	2,913,742	64.34	1,614,941
Cambodia									
1	STAFF-PERSONNEL	999,450	220,360	206,211	197,343	-	623,915	62.43	375,536
2	CONTRACT-SERVICE	350,900	196,737	190,482	168,502	-	555,721	158.37 ^c	(204,821)
3	TRAVEL	6,864	-	-	-	-	-	-	6,864
4	OPER-OTHER-COSTS	133,535	31,948	74,812	52,696	-	159,455	119.41 ^b	(25,920)
5	SUPPL-COM-MATER	28,560	-	-	-	-	-	-	28,560
6	EQUIP-VEH-FURNIT	-	54,200	-	-	-	54,200^d	-	(54,200)
SUBTOTAL		1,519,309	449,044	471,505	418,541	-	1,393,291	91.71	126,018
TOTAL		6,047,992	1,814,284	1,120,368	1,372,382	-	4,307,033	71.21	1,740,959

- (a) The implementation rate is high mainly due to the higher-than-expected office outfitting requirements at the new premises.
- (b) The implementation rate is high mainly due to the higher requirements for copy paper and printer cartridges for in-house hard copy printing following judicial decisions on reclassification and permanent archiving of case file documents.
- (c) The implementation rate is high mainly due to the higher-than-expected dissemination activities and unbudgeted medical requirement for the convicted person.
- (d) New unbudgeted requirement related to ECCC mobile outreach to victims and Civil Party notification activities.

Table 4: Available funds as at 30 September 2023

Component – Status of cash	Amount (USD x 1000)
International component	
2023 Endorsed Budget	4,529
A. Income	1,389
Austria	21
France	213
Germany	535
Japan	220
Republic of Korea	400
B. Expenditures	(2,914)
Cash balance as at 30 September 2023	(1,524)
National component	
2023 Endorsed Budget	1,519
A. Income	2,060
<u>Balance carried forward</u>	<u>540</u>
Cambodia	540
<u>Received contributions</u>	<u>1,520</u>
Cambodia for operational costs	620
Cambodia for salaries and other staff costs	900
B. Expenditures	(1,393)
ECCC operational costs as at 30 September 2023	(769)
ECCC salaries costs as at 30 September 2023	(624)
C. Cash Balance	
Cambodia for operational costs	391
Cambodia for salary and other staff costs	276
Cash balance as at 30 September 2023	667