

QUARTERLY PROGRESS REPORT

1 October 2021 – 31 December 2021

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		English into Khmer	Khmer into English	French into Khmer	Khmer into French	English into French	French into English	Total
Assigned	Number of documents	27	3	-	-	3	-	33
	Number of pages	1,130	57	-	-	34	-	1,221
Delivered	Number of documents	26	2	-	-	266	1	295
	Number of pages	326	14	-	-	1,436	1	1,771

Table 1 – translation requests received and delivered during this quarter

		English into Khmer	Khmer into English	French into Khmer	Khmer into French	English into French	French into English	Total
Assigned	Number of documents	-	-	-	-	3	-	3
	Number of pages	-	-	-	-	34	-	34
Delivered	Number of documents	-	-	-	-	3	-	3
	Number of pages	-	-	-	-	56.5	-	56.5

Table 2 – translations assigned to and delivered by vendors during this quarter

		English into Khmer	Khmer into English	French into Khmer	Khmer into French	English into French	French into English	Total
30 September 2021	Number of requests	571	523	11	949	594	13	2,676
	Number of pages	2,847	3,282	163	10,541	6,279	260	23,372
31 December 2021	Number of requests	572	528	11	949	331	5	2,396
	Number of pages	2,848	3,282	163	10,541	4,877	171	22,882

Table 3 – translations pending more than 90 days at the beginning and end of this quarter

Case File	Processed in Q4	As at 31 Dec. 2021
Introductory Submission	0	79,355
Case File 001	0	137,448
Case File 002	3,895	1,239,840
Case File 003	452	388,641
Case File 004	1,124	460,515
Case File 004/1*	48	13,917
Case File 004/2*	10	37,781
Total	5,529	2,357,497

* New filings in case 004/01 and 04/02 following the severance order.

Table 4 – case files volume in pages

Table 5 – Public Affairs Activities

#	ACTIVITY	FREQUENCY	OUTPUTS (October to December)
1	Court Visits (providing court tour and briefings for public visitors)	On request	<ul style="list-style-type: none"> • German Ambassador to Cambodia (VIP) • 1 representative from Japan • 60 visitors to ICRC Moot Court Competition
2	Weekly Radio Show “KR on Trial” (a one-hour call-in program on <i>Radio FM 102</i>) ¹	Weekly	10 broadcasts
3	Media Outreach (spokespersons appear on ether media)	<i>Ad hoc</i>	Two interviews on Apsara TV
4	ECCC Website and Social Media (update with new court documents, schedules and pictures; new features as required)	Routinely	Ongoing Press releases, and statements Ongoing new case files and court documents

Table 6 – Consolidated Outreach Figures 2009 – 2021

	Public Hearings People (days)	KRT Study Tours People (tours)	Visits People (groups)	VIP Visits People (delegations)	Screenings People (screenings)	Lectures People (occasions)	TOTAL people
2009	33,010 (87)	3,018 (10)	-	-	-	4,000 (1)	40,028
2010	3,326 (5)	29,291 (80)	1,780 (69)	151 (23)	31,118 (71)	16,100 (5)	81,766
2011	19,207 (25)	25,400 (74)	447 (38)	119 (32)	23,991 (56)	31,620 (13)	100,784
2012	60,492 (133)	10,147 (31)	949 (46)	90 (18)	10,696 (23)	14,100 (20)	96,474
2013	36,871 (84)	11,040 (39)	594 (52)	95 (24)	2,583 (9)	8,000 (7)	59,183
2014	3,407 (6)	28,141 (92)	792 (54)	20 (10)	-	15,910 (15)	48,270
2015	38,924 (125)	8,375 (33)	330 (22)	36 (8)	-	1,600 (3)	49,265
2016	40,556 (149)	5,070 (20)	762 (24)	74 (25)	-	4,900 (7)	51,362
2017	6,148 (16)	25,836 (96)	419 (44)	39 (12)	2,600 (6)	2,000 (1)	37,042
2018	802 (1)	28,938 (100)	756 (42)	33 (8)	500 (2)	3,089 (4)	34,118
2019	1,228 (3)	26,663 (89)	389 (24)	27 (8)	-	-	28,307
2020	-	7,447 (38)	185 (14)	15 (7)	-	-	7,647
2021	123 (4)	-	61 (2)	8 (4)	-	-	192
TOTAL	244,094 (638)	209,366 (698)	7,464 (431)	707 (179)	71,488 (167)	101,319 (76)	634,438

¹ Available at www.eccc.gov.kh/en/media-center/weekly-radio.

Table 7 – Consolidated Public Attendance 2009-2021
Number of visitors (number of hearing days)

Year	Case 001			Case 002								Case 003	Case 004/01	Case 004/02	Total
	TC		SCC	PTC	TC		002/01		002/02		Ieng Thirith	PTC	PTC	PTC	
	Initial	Trial	Appeal		Prelim*	Initial	Trial	Appeal	Trial	Appeal	TC/ SCC				
2009	997 (2)	31,349 (77)		664 (8)											33,010 (87)
2010		950 (1)		2,376 (4)											3,326 (5)
2011			2,247 (3)		4,643 (7)	3,083 (4)	9,234 (11)								19,207 (25)
2012			950 (1)				58,471 (129)				1,071 (3)**				60,492 (133)
2013					852 (2)		36,019 (82)								36,871 (84)
2014					457 (1)	775 (1)	720 (1)		1,455 (3)						3,407 (6)
2015								1,698 (4)	37,226 (121)						38,924 (125)
2016								2,283 (4)	38,273 (145)						40,556 (149)
2017									5,826 (14)				322 (2)		6,148 (16)
2018									802 (1)						802 (1)
2019												850 (2)		378 (1)	1,228 (3)
2020															-
2021										123 (4)					123 (4)
Total	997 (2)	32,299 (78)	3,197 (4)	3,040 (12)	5,952 (10)	3,858 (5)	104,444 (223) ²	3,981 (8) ³	83,582 (284) ⁴	123 (4)	1,071 (3)	850 (2)	322 (2)	378 (1)	244,094 (638)
	36,493 (84)			206,051 (549)											
%	15.0			84.4								0.3	0.1	0.2	100
												0.6			

* Includes issues such as bail, fitness to stand trial, trial management and procedural matters.

** Hearings on fitness were counted separately only after the charged person was severed from Case 002.

² For detailed breakdown, see table 7.1.

³ For detailed breakdown, see table 7.2.

⁴ For detailed breakdown, see table 7.3.

Detailed Public Attendance Figures

Table 7.1 – Case 002/01 – Trial Hearings 2011-2014

		General Public			Media		Others			Total
		PAS escorted	Nat'l	Int'l	Nat'l	Intl	NGO	Civil Parties	VIP	
Nov 2011-Aug 2014	223 days	86,052	2,197	3,054	2,561	1,406	1,177	7,442	555	104,444

Table 7.2 – Case 002/01 – Appeal Hearings 2015-2016

		General Public			Media		Others			Total
		PAS escorted	Nat'l	Int'l	Nat'l	Intl	NGO	Civil Party	VIP	
2015	4 days	1,471	0	56	98	28	0	39	6	1,698
2016	4 days	1,789	0	39	169	37	40	127	82	2,283
Total	8 days	3,260	0	95	267	65	40	166	88	3,981

Table 7.3 – Case 002/02 – Trial Hearings 2014-2018

		General public			Media		Others			Total
		PAS escorted	Nat'l	Int'l	Nat'l	Intl'	NGO	Civil Parties	VIP	
2014	3 days	1,272	5	56	50	15	0	49	8	1,455
2015	121 days	33,727	493	799	551	479	50	1,078	49	37,226
2016	145 days	0	34,819	831	668	616	14	1,258	67	38,273
2017	14 days	0	5,312	213	75	82	0	111	33	5,826
2018	1 day	136	323	40	70	25	0	120	88	802
Total	284 days	35,135	40,952	1,939	1,414	1,217	64	2,616	245	83,582

Table 7.4 – Case 002/02 – Appeal Hearings 2021

		General public			Media		Others			Total
		PAS escorted	Nat'l	Int'l	Nat'l	Intl'	NGO	Civil Parties	VIP	
2021	4 days	-	10	13	35	6	-	15	44	123
Total	4 days	-	10	13	35	6	-	15	44	123

Table 8 – Budget Implementation – Expenditures⁵

As at 31 December 2021

#	Grant Management Sponsor Classes	Revised Budget 2021	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	Total	Impl. %	Budget Balance
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International component⁶

1	STAFF-PERSONNEL ⁷	11,038,961	2,449,601	2,148,851	1,923,448	1,890,802	8,412,702	76.21 ^a	2,626,259
2	CONTRACT-SERVICE	223,722	23,305	57,429	36,420	136,275	253,428	113.28 ^c	(29,707)
3	TRAVEL	20,445	3,151	1,759	5,649	3,019	13,578	66.41 ^b	6,867
4	OPER-OTHER-COSTS	563,813	66,246	300,941	63,964	257,131	688,283	122.08 ^d	(124,470)
5	SUPPL-COM-MATER	85,144	(1,493)	22,926	3,160	(2,252)	22,340	26.24 ^b	62,804
6	EQUIP-VEH-FURNIT	44,436	30,752	66,180	175,212	100,157	372,300	837.84 ^d	(327,864)
7	PSC-EXP-UN	898,239	153,795	46,052	4,079	9,341	213,267	23.74	684,972
	TOTAL:	12,874,760	2,725,357	2,644,137	2,211,933	2,394,472	9,975,899	77.48	2,898,861

National component

1	STAFF-PERSONNEL	3,374,208	744,722	754,715	761,316	708,112	2,968,866	87.99 ^b	405,342
2	CONTRACT-SERVICE	1,057,650	219,589	224,546	236,138	240,706	920,980	87.08 ^b	136,670
3	TRAVEL	16,263	-	-	-	-	-	-	16,263
4	OPER-OTHER-COSTS	461,600	88,190	98,384	161,525	197,672	545,771	118.23 ^d	(84,171)
5	TRAINING & MEETING	30,393	-	-	5,912	-	5,912	19.45 ^b	24,481
	TOTAL:	4,940,114	1,052,501	1,077,646	1,164,891	1,146,491	4,441,528	89.91	498,585

(a) Personnel were hired only where functions could not be absorbed by existing personnel and savings realized in 2021 from the reversal of ASHI expenditure against UN officials from the previous year till 31 Jul 2021.

(b) The implementation rate is low due to reduced workload requirements, including Covid-19 preventive measures.

(c) The higher implementation rate is mainly due to a mandatory requirement for the upgrade of ZyLAB.

(d) The higher implementation rate is mainly due to the acquisition in the first half of the calendar year of critical requirements to facilitate judicial hearings, as well as Covid-19 preventive measures and the acquisition of data storage products for storing and archiving judicial A/V records in the long term, and unexpected costs incurred during the flooding of the ECCC compound in the fourth quarter (renovation of roads and water canals).

⁵ All figures are in USD and are rounded.

⁶ The expenditure (actual expenditure and financial commitments) is based on Umoja data 17 January 2022.

⁷ Covers posts, non-staff and other personnel costs such as consultants and experts' fees.

Table 9 – Balance of Available Funds

As at 31 December 2021

International Component

STATUS OF CASH		2021 (in thousands of US\$)
2021 Revised Budget		12,875
A. Income		10,625
Received Contributions	3,625	
France	245	
Czech Rep	23	
Sweden	564	
European Union	1,066	
Australia	773	
Republic of Korea	500	
Finland	119	
Norway	335	
Regular Budget Subvention	7,000	
B. Expenditures		(9,976)
Expenditures charged to voluntary contributions as of 31 Dec 2021	(3,258)	
Expenditures charged to regular budget subvention as of 31 Dec 2021	(6,718)	
C. Cash Balance		
Cash balance from voluntary contributions as of 31 Dec 2021	148	
Cash balance from regular budget subvention as of 31 Dec 2021	367	
Total cash available as of 31 December 2021		649

National Component

STATUS OF CASH		2021 (in thousands of US\$)
2021 Revised Budget		4,940
A. Income		5,429
Balance carried forward	657	
Cambodia	654	
Australia Fund for OCP Internship	3	
Received Contributions	4,772	
Cambodia for Operational Costs	1,600	
Cambodia for Salaries and Other Staff Costs for first 6 months	2,000	
European Union	1,175	
Australia Fund*	(3)	
B. Expenditures		(4,442)
ECCC Expenditures as at 31 December 2021	(1,476)	
ECCC Staff salaries as at 31 December 2021	(2,966)	
C. Cash Balance		
Cambodia for Operational Costs	778	
Cambodia for salary and other staff costs	209	
European Union	-	
Australia Fund for OCP Internship	-	
Total cash available as of 31 December 2021		987

* The unspent balance of \$3,000 for OCP internship returned to Australia.

Table 10 – Approved Posts and Vacancies
As at 31 December 2020

	Approved (a)	Vacant (b)	Vacancy rate in % (c/[b/a]*100)
Grand total	215	27	12.56
International Component			
Professional and above			
UN officials	12	1	8.33
D-1	1	-	-
P-5	4	3	75.00
P-4	6	3	50.00
P-3	9	3	33.33
P-2*	5	1	20.00
Subtotal	37	11	29.42
Other Levels			
Field Service	6	3	50.00
National Officer	9	1	11.11
General Service	34	6	17.65
Subtotal	49	10	20.41
Total	86	21	24.42
National Component			
Professional and above			
D-1	14	-	-
P-5	1	-	-
NPO-D	15	-	-
NPO-C	8	1	12.50
NPO-B/A	12	1	8.33
Subtotal	50	2	4.00
Other Levels			
General Service	79	4	5.06
Subtotal	79	4	5.06
Total	129	6	4.65

*Four P-2 posts are used to fund consultancies for the Pre-Trial Chamber.

Table 11 – Consolidated Officials and Staff

On board as at 31 December 2021

	Office	Approved Positions ⁸			Officials and Staff on Board		
		UNAKRT	ECCC	Total	UNAKRT	ECCC	Total
Chambers	Supreme Court Chamber	8	7	15	9 ⁹	6	15
	Trial Chamber	3	4	7	2 ¹⁰	4	6
	Pre-Trial Chamber	10	6	16	3	3	6
Judicial Offices	Office of the Co-Investigating Judges	1	1	2	1	1	2
	Office of the Co-Prosecutors	15	5	20	11	5	16
Judicial Support	Defence Support Section	3	-	3	2	-	2
	Civil Party Lead Co-Lawyers Section	-*	2	2	-*	2	2
	Victims Support Section	-	4	4	-	4	4
	Court Management Section	10	25	35	7	24	31
Security Support	Security and Safety Section	17	38	55	15	38	53
Administrative Support	Office of the Director	3	4	7	2	4	6
	Budget and Finance Section	4	3	7	2	3	5
	Human Resources Management Sect.	3	3	6	2	3	5
	Procurement Unit	1	1	2	1	1	2
	Inform. and Com. Techn. Section	4	4	8	4	3	7
	General Services Section	4	20	24	4	20	24
	Public Affairs Section	-	2	2	-	2	2
Totals	#	86	129	215	65	123	188
	<i>% national vs international budget</i>	<i>40%</i>	<i>60%</i>	<i>100%</i>	<i>35%</i>	<i>65%</i>	<i>100%</i>

* For judicial reasons, consultancies are engaged for this section.

Table 11.1 – UNAKRT Personnel by Category

On board as at 31 December 2021

Service/contract type	Officials	Staff	Consultants	Interns	Total	
	#	#	#	#	#	%
The Chambers	9	5	16	8	38	33%
Office of the Co-Invest. Judges	1	-	-	-	1	1%
Office of the Co-Prosecutors	1	10	-	12	23	20%
Judicial Support	-	9	13*	1	23	20%
Security Support	-	15	-	-	15	13%
Administrative Support	-	15	-	-	15	13%
Total	11	54	29	21	115	100%
% of total personnel	10%	47%	25%	18%	100%	

* Legal representation for charged persons and civil parties only.

⁸ Refers to positions with allocated funds by the approved budget for 2021.⁹ At its request, the Chamber is provided one additional post, a national case manager. This additional cost is offset against a vacant position in the Court Management Section under the international component.¹⁰ One full time resident judge and one part-time judge working remotely.

Table 11.2 – UNAKRT Officials, Staff and Interns by Gender

As at 31 December 2021

		Total #	Female		Male	
			#	%	#	%
UN Officials		11	4	36%	7	64%
Staff only	The Chambers	5	3	60%	2	40%
	Office of the Co-Investigating Judges	-	-	-	-	-
	Office of the Co-Prosecutors	10	4	40%	6	60%
	Judicial Support	9	2	22%	7	78%
	Security Support	15	1	7%	14	93%
	Administrative Support	15	5	33%	10	67%
<i>Sub-total staff</i>		54	15	28%	39	72%
Interns		21	16	76%	5	24%
Grand Total		86	35	41%	51	59%

Table 11.3 – UNAKRT Officials and Staff by Nationality

As at 31 December 2021

Nationality	Staff	Officials	Total	%	Geographical Region
Australia	2	-			
Austria	-	1			
Belgium	1	-			
Bosnia and Herzegovina	1	-			
Cambodia	38	-			
Canada	1	-			
France	-	1			
Germany	-	1			
Ireland	-	1			
Kenya	1	-			
Nepal	1	-			
Norway	1	-			
Philippines	1	-			
Republic of Korea	1	1			
Rwanda	1	-			
Spain	1	-			
South Africa	1	-			
Sri Lanka	-	1			
Tanzania	-	1			
United States of America	1	3			
Uganda	1	-			
United Kingdom	1	-			
Zambia	-	1			
Sub-total, by category	54	11			
Grand total	65		65	100%	
			6	9%	Africa
			5	8%	Americas
			5	8%	Asia without Cambodia*
			9	14%	Europe
			2	3%	Oceania
			27	42%	Sub-total internationals
			38	42%	Cambodia*

Table 12 – Victims and Civil Party Statistics

As at 31 December 2021

	Case 001	Case 002	Case 003	Case 004*	Total
Victim complaints received by the Office of the Co-Prosecutors	n/a ¹¹				4,208
Civil Party Applications received by the Co-Investigating Judges	94	4,128 ¹²	645	2,008	6,875
Deceased civil parties (successor claims approved by judicial offices)	n/a	177 (42)	n/a	n/a	177¹³

* Prior to severance

Civil Party lawyers recognized by ECCC judicial authorities	22 (10 Nationals and 12 Internationals)		
Civil Party Lawyers by case [#]	Case 002	Case 003	Case 004
	20 (10 National, 10 International)	12 (8 National, 4 International)	12 (8 National, 4 International)

Lawyers may represent civil parties across several cases

Table 13 – Personnel Remunerated under the Legal Assistance Scheme

As at 31 December 2021

	Cambodian	Foreign	Total
Case 002 - Khieu Samphan	2	1	3
Case 003 - Meas Muth	1	1	2
Case 004 - Yim Tith	1	1	2
GRAND TOTAL	4	3	7

Co-Lawyers

Case 002	1	1	2
Case 003	1	1	2
Case 004	1	1	2
Sub-total	3	3	6

Legal support

Case 002	1	-	1
Case 003	-	-	₁₄
Case 004	-	-	₁₅
Sub-total	1	-	1

¹¹ Statistics for case distribution of victim complaints is not available.¹² The Pre-Trial Chamber admitted 3,869 applicants as civil parties in the case (see para 4407 of the *case 002/02 Trial Judgement*).¹³ The total is based on information available to the Victims Support Section and may be incomplete.¹⁴ One international legal consultant and one national case manager completed their assignments on 25 December 2021. One international consultant completed his assignment upon filing of pleadings before the Supreme Court Chamber in November.¹⁵ One international legal consultant and one national case manager completed their assignments on 31 December 2021.